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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/732,796	12/10/2003	Shalley K. Gupta	58182US004

32692  
 3M INNOVATIVE PROPERTIES COMPANY  
 PO BOX 33427  
 ST. PAUL, MN 55133-3427



**CONFIRMATION NO. 5660**  
**FORMALITIES LETTER**



\*OC000000012343951\*

Date Mailed: 04/13/2004

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
 CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
 DISCLOSURES**

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

O I P E JCS  
MAY 17 2004  
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32692

Customer Number

PATENT  
Docket No.: 58182US004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SHALLEY K. GUPTA, TARUN K. GHOSH  
and JASON R. FINK

Serial No.: 10/732,796

Filed: December 10, 2003

Group Art Unit: 1625

Examiner:

For: GENE EXPRESSION SYSTEMS  
AND RECOMBINANT CELL LINES

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT  
APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO  
ACID SEQUENCE DISCLOSURES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In response to the Notice mailed April 13, 2004, attached is a substitute computer readable disc containing the Sequence listing previously provided with the application upon filing. I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 CFR 1.825(d), is identical to that originally filed.

In the event that this substitute computer readable disc is also to be found damaged and/or unreadable, the paper or disc copy of the Sequence Listing in this application 10/732,796, is identical to the computer readable copy of the Sequence Listing filed in application 60/432,651, filed December 11, 2002. In accordance with 37 CFR 1.821(e), please use the only computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark office will make the necessary change in application number and filing date for the instant application. A paper or compact disc copy of the Sequence Listing is included in the originally-filed specification of the instant application.

A copy of the Notice to Comply is also enclosed.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL  
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ALEXANDRIA, VA 22313-1450 ON May 13, 2004

SIGNED Judy L. Hansen

No fees are believed due with this response, but in the event a fee is required, authorization is hereby provided to charge the fee required to Deposit Account No. 13-3723.

Having now complied with all of the requirements of 37 CFR 1.53, applicants respectfully request that this application be placed on the files for examination.

Respectfully submitted,



Christopher D. Gram  
Registration No. 43,643  
Attorney for Applicants

CDG:jlh/58182US004 Notice to Comply  
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Dated: May 13, 2004